



# Regular Application New Account

Please do not use this form for IRA accounts.

Mail to: Chase Funds  
c/o U.S. Bancorp Fund Services, LLC  
PO Box 701  
Milwaukee, WI 53201-0701

Overnight Express Mail to: Chase Funds  
c/o U.S. Bancorp Fund Services, LLC  
615 E. Michigan St. FL 3  
Milwaukee, WI 53202-5207

For additional information, please call toll-free **1-888-861-7556** or visit us on the web at **www.chaseinv.com**.

In compliance with the USA PATRIOT Act, all financial institutions (including mutual funds) are required to obtain, verify, and record the following information for all registered owners or others who may be authorized to act on an account: **full name, date of birth, Social Security number, and permanent street address. Corporate, trust, and other entity accounts require additional documentation.** This information will be used to verify your true identity. We will return your application if any of this information is missing, and we may request additional information from you for verification purposes. In the rare event that we are unable to verify your identity, the Fund reserves the right to redeem your account at the current day's net asset value.

## 1. Investor Information – Select one

Individual

FIRST NAME \_\_\_\_\_ M.I. \_\_\_\_\_ LAST NAME \_\_\_\_\_ DOB (Mo / Dy / Yr) \_\_\_\_\_  
SOCIAL SECURITY NUMBER \_\_\_\_\_ E-MAIL ADDRESS (Not required, allows the Fund to email additional information) \_\_\_\_\_

Joint Owner

FIRST NAME \_\_\_\_\_ M.I. \_\_\_\_\_ LAST NAME \_\_\_\_\_ DOB (Mo / Dy / Yr) \_\_\_\_\_  
SOCIAL SECURITY NUMBER \_\_\_\_\_ E-MAIL ADDRESS (Not required, allows the Fund to email additional information) \_\_\_\_\_  
*Registration will be Joint Tenancy with Rights of Survivorship (JTWRWS) unless otherwise specified.*

Gift to Minor

CUSTODIAN'S FIRST NAME \_\_\_\_\_ M.I. \_\_\_\_\_ LAST NAME \_\_\_\_\_ DOB (Mo / Dy / Yr) \_\_\_\_\_  
(ONLY ONE PERMITTED)  
CUSTODIAN'S SOCIAL SECURITY NUMBER \_\_\_\_\_ E-MAIL ADDRESS (Not required, allows the Fund to email additional information) \_\_\_\_\_  
MINOR'S FIRST NAME \_\_\_\_\_ M.I. \_\_\_\_\_ LAST NAME \_\_\_\_\_ DOB (Mo / Dy / Yr) \_\_\_\_\_  
(ONLY ONE PERMITTED)  
MINOR'S SOCIAL SECURITY NUMBER \_\_\_\_\_ MINOR'S STATE OF RESIDENCE \_\_\_\_\_

Corporation/  
Trust \*

NAME OF TRUST/CORPORATION/PARTNERSHIP AND STATE OF ORGANIZATION \_\_\_\_\_

Partnership\*

NAME(S) OF TRUSTEE(S) \_\_\_\_\_

Other Entity\*

SOCIAL SECURITY NUMBER / TAX I.D. NUMBER \_\_\_\_\_ DATE OF AGREEMENT (Mo / Dy / Yr) \_\_\_\_\_

\* You must supply documentation to substantiate existence of your organization (i.e. Articles of Incorporation / Formation / Organization, Trust Agreements, Partnership Agreement, or other official documents.)

**Remember to include a separate sheet detailing the full name, date of birth, Social Security number, and permanent street address for all authorized individuals.**

**2. Permanent Street Address** (P.O. Box is not acceptable)  
 (Residential Address or Principal Place of Business – No Foreign Addresses)

STREET \_\_\_\_\_ APT / SUITE \_\_\_\_\_  
 CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_  
 DAYTIME PHONE NUMBER \_\_\_\_\_ EVENING PHONE NUMBER \_\_\_\_\_

**Mailing Address (if different from Permanent):**  
*If completed, this address will be used as the Address of Record for all statements, checks, and required mailings. No foreign addresses.*

STREET \_\_\_\_\_ APT / SUITE \_\_\_\_\_  
 CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

**Duplicate Statement #1**

*Complete only if you wish someone other than the account owner(s) to receive duplicate statements.*

NAME \_\_\_\_\_  
 STREET \_\_\_\_\_ APT / SUITE \_\_\_\_\_  
 CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

**Duplicate Statement #2**

*Complete only if you wish someone other than the account owner(s) to receive duplicate statements.*

NAME \_\_\_\_\_  
 STREET \_\_\_\_\_ APT / SUITE \_\_\_\_\_  
 CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

**3. Investment Choices**

- By check: Make check payable to **The Chase Funds.** \$ \_\_\_\_\_**  
*Note: Generally, cashier's checks of \$10,000 or less, money orders of any amount, and third party checks are not accepted.*
- By wire: Call **1-888-861-7556.** Indicate amount of wire: \$ \_\_\_\_\_**

Fund Name		Investment Amount \$2,000 Minimum	Distribution Options		
			Capital Gains & Dividends Reinvested	Capital Gains Reinvested & Dividends in Cash*	Capital Gains & Dividends in Cash*
<input type="checkbox"/> Chase Growth Fund – N Class	905	\$ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Chase Mid Cap Growth Fund	906	\$ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
\$1,000,000 Minimum					
<input type="checkbox"/> Chase Growth Fund – Substantial Investor Class <sup>(1)</sup>	907	\$ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*If nothing is checked, all distributions will be reinvested.*

\* Unless otherwise indicated, cash distributions will be mailed to the address in Section 2.

(1) Substantial Investor Class Shares of the Growth Fund are being offered to a limited category of investors, most notably those individual accounts identified by the Advisor whose cumulative investment in the Growth Fund exceeds \$1 million. Substantial Investor Class Shares of the Growth Fund are not available through platforms, broker-dealers or other financial intermediaries. Substantial Investor Class Shares must be purchased directly through the Transfer Agent. The minimum initial investment in the Substantial Investor Class Shares of the Growth Fund is \$1 million. This minimum may be waived at the Advisor's discretion.

**4. Automatic Investment Plan**

If you choose this option, funds will be automatically transferred from your bank account monthly. Please attach a voided check or preprinted savings deposit slip to Section 7 of this application. We are unable to debit mutual fund or pass-through ("for further credit") accounts.

Your signed application must be received at least 15 business days prior to initial transaction.

		Amount per Draw	AIP Start Month	AIP Start Day
<input type="checkbox"/> Chase Growth Fund – N Class	905	\$ _____	_____	_____
<input type="checkbox"/> Chase Mid Cap Growth Fund	906	\$ _____	_____	_____
<input type="checkbox"/> Chase Growth Fund - Substantial Inv. Class	907	\$ _____	_____	_____

**Please keep in mind that:**

- There is a \$25 fee if the automatic purchase cannot be made (assessed by redeeming shares from your account).
- Participation in the plan will be terminated upon redemption of all shares.

## 5. Telephone Options

Your signed application must be received at least 15 business days prior to initial transaction.

- Redemption** – permits the transfer of funds via:
  - Check to address in section 2
  - Federal wire to your bank account below (\$15.00 charge for each wire)\*
  - EFT, at no charge, to your bank below (funds are typically credited within two days after redemption)\*
- Purchase (EFT)** - permits the on-demand purchase of shares from your bank account.\*
- Exchange** – permits the exchange of shares between identically registered accounts.

\* If you selected any of these options, please attach a voided check or a preprinted savings deposit slip to this application. We are unable to draft or credit your account via EFT if it is a mutual fund or pass-through account.

### **! Important Shareholder Information**

In order to implement the telephone options for your account, which allows you to call the fund and redeem, exchange or purchase over the phone, the above **Redemption , Exchange** and/or **Purchase** box must be checked, along with the delivery method for the proceeds. If the Redemption box is checked, with no option indicated, any telephone redemption will be limited to the receipt of a check. If you choose to enact these options at a later date, after you account is open, a letter of instruction, with a signature guarantee will be required to implement these telephone options for your account.

## 6. Systematic Withdrawal Plan

Your signed application must be received at least 15 business days prior to initial transaction.

Systematic Withdrawal Plan (\$50 minimum and \$10,000 account value minimum) – permits the automatic withdrawal of funds.

- Payments will be mailed to address in Section 2
- OR-**
- Payments will be deposited directly into your bank account. Please attach a voided check or a preprinted savings deposit slip to Section 7 of this application. We are unable to credit mutual fund or pass-through (“for further credit”) accounts.

Make payments  Monthly  Quarterly  Annually starting with the month given here:

		Amount per Withdrawal	SWP Start Month	SWP Start Day
<input type="checkbox"/> Chase Growth Fund	905	\$ _____	_____	_____
<input type="checkbox"/> Chase Mid Cap Growth Fund	906	\$ _____	_____	_____
<input type="checkbox"/> Chase Growth Fund – Substantial Inv. Class	907	\$ _____	_____	_____

## 7. Voided Check for Bank Information

If you have selected an automatic investment plan, wire redemptions, EFT purchases, EFT redemptions or a systematic withdrawal plan, please attach a voided check or a pre-printed savings deposit slip in this space. We are unable to debit or credit mutual fund or pass-through accounts.

Please contact your financial institution to determine if it participates in the Automated Clearing House system (ACH).

**PLEASE ATTACH VOIDED CHECK OR  
PRE-PRINTED SAVINGS DEPOSIT SLIP  
HERE**

## 8. Signature and Certification Required

I have received and understand the prospectus for The Chase Funds (the "Funds"). I understand the Funds' investment objectives and policies and agree to be bound by the terms of the prospectus. I acknowledge and consent to the householding (i.e. consolidation of mailings) of regulatory documents such as prospectuses, shareholder reports, proxies, and other similar documents. I may contact the Funds to revoke my consent. I agree to notify the Funds of any errors or discrepancies within 45 days after the date of the statement confirming a transaction. The statement will be deemed to be correct, and the Funds and their transfer agent shall not be liable if I fail to notify the Funds within such time period. I certify that I am of legal age and have legal capacity to make this purchase.

The Funds, the applicable Fund, its transfer agent, and any officers, directors, employees, or agents of these entities (collectively "Chase Funds") will not be responsible for banking system delays beyond their control. By completing sections 4, 5, 6, or 7, I authorize my bank to honor all entries to my bank account initiated through U.S. Bank, NA, on behalf of the applicable Fund. The Chase Funds will not be liable for acting upon instruction believed to be genuine and in accordance with the procedures described in the prospectus or the rules of the Automated Clearing House. When AIP or Telephone Purchase transactions are presented, sufficient collected funds must be in my account to pay them. I agree that my bank's treatment and rights to respect each entry shall be the same as if it were signed by me personally. I agree that if any such entries are dishonored with good or sufficient cause, my bank shall be under no liability whatsoever. I further agree that any such authorization, unless previously terminated by my bank in writing, is to remain in effect until the Funds' transfer agent receives and has had reasonable amount of time to act upon a written notice of revocation.

I authorize the Fund to perform a credit check based on the information provided, if necessary.

**Under penalty of perjury, I certify that (1) the Social Security number or taxpayer identification number shown on this form is my correct taxpayer identification number, and (2) I am not subject to backup withholding either as a result of a failure to report all interest or dividends, or the IRS has notified me that I am no longer subject to backup withholding. (3) I am a U.S. person (including a U.S. resident alien).**

**The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.**

\_\_\_\_\_  
SIGNATURE OF OWNER\*

\_\_\_\_\_  
DATE (Mo / Dy / Yr)

\_\_\_\_\_  
SIGNATURE OF OWNER\*

\_\_\_\_\_  
DATE (Mo / Dy / Yr)

\*If shares are to be registered in (1) joint names, both persons must sign, (2) a custodian for a minor, the custodian should sign, (3) a trust, the trustee(s) should sign, or (4) a corporation or other entity, an officer should sign and print name and title on the space provided for the Joint Owner.

## 9. Dealer Information\*

Please be sure to complete representative's first name and middle initial.

\_\_\_\_\_  
DEALER NAME

DEALER HEAD OFFICE INFORMATION:

\_\_\_\_\_  
ADDRESS

\_\_\_\_\_  
CITY / STATE / ZIP

\_\_\_\_\_  
TELEPHONE NUMBER

\_\_\_\_\_  
REPRESENTATIVE'S LAST NAME

\_\_\_\_\_  
FIRST NAME

\_\_\_\_\_  
MI

REPRESENTATIVE'S BRANCH OFFICE INFORMATION:

\_\_\_\_\_  
ADDRESS

\_\_\_\_\_  
CITY / STATE / ZIP

\_\_\_\_\_  
TELEPHONE NUMBER

***\*This section need only be completed if purchasing shares through the Fund's approved brokers and their agents.***

### Before you mail, have you:

- Completed all USA PATRIOT Act required information?
  - Social Security or Tax ID number in Section 1?
  - Birth date in Section 1?
  - Full name in Section 1?
  - Permanent street address in Section 2?
- Enclosed your personal check made payable to The Chase Funds? (Reminder: Generally, cashier's checks of \$10,000 or less, money orders of any amount, and third party checks are not accepted.)
- Included a voided check, if applicable?
- Signed your application in Section 8?
- Enclosed additional documentation, if applicable?